

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problems Mailbox.**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61M 15/08, B05B 5/00</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/49923</b> (43) International Publication Date: <b>7 October 1999 (07.10.99)</b>
(21) International Application Number: <b>PCT/IB99/00469</b> (22) International Filing Date: <b>22 March 1999 (22.03.99)</b> (30) Priority Data: <b>9806939.6</b> <b>31 March 1998 (31.03.98)</b> <b>GB</b> (71) Applicant ( <i>for all designated States except US</i> ): <b>THE PROCTER &amp; GAMBLE COMPANY [US/US]; One Procter &amp; Gamble Plaza, Cincinnati, OH 45202 (US).</b> (72) Inventor; and (75) Inventor/Applicant ( <i>for US only</i> ): <b>RENNIE, Paul, John [GB/GB]; Ataraxia, Ballfield Road, Godalming, Surrey GU7 2HA (GB).</b> (74) Agents: <b>REED, T., David et al.; The Procter &amp; Gamble Company, 5299 Spring Grove Avenue, Cincinnati, OH 45217-1087 (US).</b>		(81) Designated States: <b>AL; AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</b>  <b>Published</b> <i>With international search report.</i>
(54) Title: <b>NASAL SPRAY DEVICE WITH IMPROVED SPRAY GEOMETRY</b> (57) Abstract <p>The invention relates to a packaged spray device (4), suitable for spraying into a bodily cavity, the device comprising a spray generator, a fluid reservoir and a nosepiece (5) having an exit surface, particularly to a device adapted to produce a spray comprising a fluid ligament with a spray cone diverging from one end of the ligament. Preferably the device is an electrostatic spray device wherein the spray cone comprises charged droplets. The invention further relates to a method of administering a fluid into the nasal cavity from a spray device without substantial penetration of the device into the nostrils. The device and method of the invention provide for gentle yet reliable delivery of a nasal treatment agent into the nasal cavity, especially into the nasal cavity of a human in need of nasal treatment such as decongestion. The particular characteristics of the spray enable it to be delivered without substantial penetration of the nostrils and yet for it effectively to reach the target area.</p> <div data-bbox="933 1144 1372 1921"> </div>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## NASAL SPRAY DEVICE WITH IMPROVED SPRAY GEOMETRY

5

### Field of the Invention

The present invention relates to a spray device, particularly to a device adapted to produce a spray comprising a fluid ligament with a spray cone diverging from one end of the ligament. More particularly, the invention relates to an electrostatic spray device capable of delivering a soft spray to the nasal cavity without needing to be inserted into the nostrils. The invention further relates to a method of administering a fluid to the nasal cavity from a spray device without substantial penetration of the device into the nostrils. More especially, the invention relates to a method of electrostatically spraying a liquid in such a way that the liquid is initially projected from a spray head in the form of a ligament which enters the nostrils and thereafter breaks up into droplets under the influence of Coulombic forces to produce an atomised spray.

10

15

### Background of the Invention

Treatment of maladies affecting the nasal region, such as hay fever or congestion due to colds, has long been effected by means of a nasal spray device. More recently it has been recognised that the mucous membranes of the nasal cavity can be used as a convenient delivery site for drugs targeted at other areas of the body. See for example WO 92/11049 which discloses a pen shaped device for nasal administration of, particularly, insulin. Invariably, however, devices for administration of fluids into the nose have been designed such that the device must be partially inserted into the nostrils to be effective. Not only is the manner of using such a device potentially unhygienic and/or uncomfortable for the user, it is often required that the user tilt his or head backwards to avoid the fluid running out of the nose once dispensed.

20

25

The nasal cavity is a difficult area of the body to target with drugs. To reach the inner nasal cavity, the drug must be introduced into the vestibule of the nose via the nostrils, travel to the back of this cavity and then pass through the narrow slit-shaped opening of the nasal valve. Once through the nasal valve, the drug then needs to be distributed onto the vascular tissues of the inferior and middle turbinates. The turbinates are finger-like projections of erectile tissue covered with ciliated epithelium and goblet cells. When the nose becomes congested, the turbinates expand and close off the air passages. To be effective, topical drugs such as decongestants, anti-

30

35

inflammatories, or antihistamines must coat the epithelium of the turbinates, where they can exert their pharmacological action. The turbinates are the most highly vascularised area of the nose, and therefore are the preferred point of absorption of systemic drugs.

- 5 It has now been found that, by designing a spray with a particular ligament length and a suitably dimensioned spray cone diverging from one end of the ligament, a spray can be targeted to a suitable part of the nose, especially the turbinates, for absorption of an active, without the device needing to be inserted into the nostrils.

A preferred means of generating the spray is by use of an electrostatic device. An  
10 electrostatic device for nasal or oral delivery of a fluid is described in WO 96/40441. Ligament mode electrostatic spray devices are also described in EP-A-501,725. Copending application PCT/GB97/02746 describes a device suitable for electrostatic spraying of low-resistivity fluids such as aqueous fluids.

The aforesaid WO 96/40441 describes at p9, 19 - 21 that its device will be used by  
15 inserting the nose-piece into the nostril and spraying whilst the user inhales. Accordingly it suffers from the same limitation as most prior art devices that, to be effective, insertion of the device into the nostrils is required. This can cause discomfort and is perceived by many as being unhygienic, leading to inhibition of using the device. Spraying from outside the nostrils, however, often leads to  
20 inefficient delivery of the spray to the intended target, especially the turbinates of the nose, since much of the fluid falls on the outside of the intended target and gives rise to uncomfortable 'run-off'.

It has now been found that a spray device can be designed which overcomes these problems.

- 25 Accordingly, it is an object of the present invention to provide a spray device which has improved effectiveness and /or comfort in delivering fluids to the nasal cavity.

It is a further object of this invention to provide a spray device for nasal administration of a fluid without substantial penetration of the device into the nostrils.

It is yet a further object of this invention to provide a spray device which delivers a  
30 soft gentle spray for greater user comfort.

It is yet a further object of this invention to provide a spray device which efficiently delivers a low unit volume.

It is yet a further object of this invention to provide a nasal spray device which delivers a fluid without causing a wet sensation in the nasal vestibule or on the nostrils.

#### Summary of the Invention

- 5 According to the present invention there is provided a packaged spray device, suitable for spraying into a bodily cavity, the device comprising a spray generator, a fluid reservoir and a nosepiece wherein:
- 10 i) the fluid reservoir contains a pharmaceutically acceptable fluid, the fluid comprising a pharmaceutically acceptable treatment agent selected from medicaments, flavours, salts, surfactants and mixtures thereof; and
  - ii) the device is adapted to produce a spray having a fluid ligament extending from the nosepiece, the ligament having a nosepiece end and a delivery end, the spray further comprising a spray cone diverging from the delivery end of the ligament; wherein
  - 15 iii) the ligament has a length of from about 1 to about 20 mm from the nosepiece end to the delivery end.

Preferably the spray device is an electrostatic spray device which charges the spray before entry into the nostrils.

- 20 According to a further aspect of the present invention there is provided a method of administering a fluid to the nasal cavity from a spray device, the method comprising spraying the fluid into the nasal cavity without substantial penetration of the device into the nostrils.

#### Detailed Description of the Invention

##### Fluids

- 25 The spray device of the invention comprises a fluid reservoir containing a pharmaceutically acceptable fluid, the fluid comprising a pharmaceutically acceptable treatment agent selected from medicaments, flavours, salts, surfactants and mixtures thereof. The fluid optionally comprises other adjuvants dissolved or dispersed within it. The fluid can be aqueous or non-aqueous. Suitable aqueous fluids include water
- 30 and mixtures of water with water-miscible solvents such as glycerol, propylene glycol, or alcohols such as ethanol or isopropyl alcohol. Aqueous emulsions can also be used, either water-in-oil or oil-in water emulsions. Preferably the fluid is an aqueous solution, dispersion or oil-in-water emulsion. Suitable non-aqueous fluids

comprise polyethylene glycols, glycerol, propylene glycol, dimethyl isosorbide, silicone oils, ketones, ethers and mixtures thereof.

Although not limited to any particular range of resistivity, the invention has particular application to low resistivity fluids, especially those having a bulk resistivity of less than  $1 \times 10^8$  ohm.cm, preferably those having a resistivity of less than  $1 \times 10^4$  ohm.cm, more preferably less than  $1 \times 10^3$  ohm.cm. If necessary, the fluid may comprise a resistivity modifier, such as a pharmaceutically acceptable salt, in order to bring the bulk resistivity within the required range.

The fluid is preferably a pharmaceutically acceptable intranasal carrier. Preferably, the nasal composition is isotonic, i.e., it has the same osmotic pressure as blood and lacrimal fluid. The desired isotonicity of the compositions of this invention may be accomplished using, for example, the sodium chloride already present, or other pharmaceutically-acceptable agents such as dextrose, boric acid, citric acid, sodium tartrate, sodium citrate, sodium phosphate, potassium phosphate, propylene glycol or other inorganic or organic solutes. Sodium chloride is preferred particularly for buffers containing sodium ions. Further examples of sodium chloride equivalents are disclosed in Remington's Pharmaceutical Sciences pp. 1491-1497 (Alfonso Gennaro 18th ed. 1990), which is herein incorporated by reference.

#### Medicaments

The fluid can comprise a wide range of medicaments. By "medicament" is meant a drug or other substance intended to have a therapeutic effect on the body. Suitable levels of the medicament are from 0.001 to 20%, preferably from 0.01 to 5%, more preferably from 0.1 to 5%. It will be appreciated that the levels of specific medicaments will depend on many factors including their potency, safety profile, solubility / ease of dispersion and intended effect. The medicament, when used, can be one which is intended to have an effect at the site of application, such as a decongestant, antihistamine or anti-inflammatory drug, or it may be intended for systemic absorption such as an anti-viral, anti-depressant, anti-emetic, anti-pyretic medicament or a hormone or such-like. The medicament can be soluble in the fluid or can be an insoluble, finely divided particulate liquid or solid dispersed within the fluid.

Suitable decongestants include oxymetazoline, tramazoline, xylometazoline, naphazoline, tetrahydrazoline, pseudoephedrine, ephedrine, phenylephrine, their pharmaceutically acceptable salts, such as the hydrochlorides, and mixtures thereof.

Preferred decongestants are selected from oxymetazoline, xylometazoline, their

pharmaceutically acceptable salts and mixtures thereof. Especially preferred for use herein is oxymetazoline hydrochloride which is soluble in water. When used in the compositions of the present invention, the decongestant is preferably present at a concentration of from about 0.01% to about 3.0%, more preferably from about 0.01% to about 1%.

Antihistamines useful to the present invention include, but are not limited to, fast-acting, histamine H-1 receptor antagonists. Such H-1 receptor antihistamines may be selected from among the following groups of antihistamines: alkylamines, ethanolamines, ethylenediamines, piperazines, phenothiazines, piperidines. Examples of useful fast acting antihistamines include acrivastine, carbinoxamine, diphenhydramine, chlorpheniramine, brompheniramine, dexchloropheniramine, doxylamine, clemastine, promethazine, trimепразине, methdilazine, hydroxyzine, pyrilamine, tripelemnamine, meclizine, triprolidine, azatadine, cyproheptadine, rocastine, phenindamine or pharmaceutically acceptable salts and mixtures thereof. Other useful antihistamines include terfenadine, azelastine, cetirizine, astemizole, ebastine, ketotifen, lodoxamide, loratadine, levocabastine, mequitazine, oxatomide, setastine, tazifylline, temelastine or pharmaceutically acceptable salts and mixtures thereof. When used in the compositions of the present invention, the antihistamine component is preferably present at a concentration of from about 0.01% to about 3.0%, more preferably from about 0.01% to about 1%.

The medicament can also be an anti-inflammatory agent such as a corticosteroid. Particularly preferred agents within this class are glucocorticoids selected from the group consisting of beclomethasone, flunisolide, fluticasone, memetasone, budesonide, pharmaceutically acceptable salts thereof and mixtures thereof. When used in the compositions of the present invention, the anti-inflammatory agent is preferably present at a concentration of from about 0.001% to about 0.1%, more preferably from about 0.01% to about 0.1%.

Also useful herein are xanthine derivatives such as caffeine and methylxanthine and the like; antiallergics; mucolytics; anticholinergics; non-opiate analgesics such as acetaminophen, acetylsalicylic acid, ibuprofen, etodolac, fenbuprofen, fenoprofen, ketorolac, flurbiprofen, indomethacin, ketoprofen, naproxen, pharmaceutically acceptable salts thereof and mixtures thereof; opiate analgesics such as butorphanol; leukotriene receptor antagonists; mast cell stabilisers such as cromolyn sodium, nedocromil and lodoxamide; and lipoxygenase inhibiting compounds.



Further examples of suitable medicaments can be found in WO97/46243, EP-A-780127, US-A-5,124,315, US-A-5,622,724, US-A-5,656,255 and US-A-5,705,490

#### Flavours

5 Various flavouring and/or aromatic components (e.g., aldehydes and esters) can be used in the fluids of the invention. These include, for example, menthol, camphor, eucalyptol, benzaldehyde (cherry, almond); citral (lemon, lime); neral; decanal (orange, lemon); aldehyde C-8, aldehyde C-9 and aldehyde C-12 (citrus fruits); tolyl aldehyde (cherry, almond); 2,6-dimethyl-octanal (green fruit); 2-dodecenal (citrus, 10 mandarin); and herbal components such as thyme, rosemary and sage oils. Additional aromatic components suitable for use in the present invention include those described in U.S. Patent 4,136,163 to Watson et al., U.S. Patent 4,459,425 to Amano et al., and U.S. Patent 4,230,688 to Rowsell et al.; all of which are herein incorporated by reference. Mixtures of these aromatics can also be used.

#### 15 Surfactants

The fluid can also comprise one or more pharmaceutically acceptable surfactants. Such surfactants can be useful for dispersing or emulsifying medicaments or flavours, for enhancing absorption across the nasal membrane or as treatment agents in their own right, such as for softening earwax. The surfactants can be anionic, nonionic, 20 cationic or amphoteric, preferably they are nonionic. Typical nonionic surfactants useful herein include: polyoxyethylene derivatives of fatty acid partial esters of sorbitol anhydrides such as polysorbate 80; polyoxyethylene derivatives of fatty acids such as polyoxyethylene 50 stearate, as well as oxyethylated tertiary octyl phenol formaldehyde polymer (available from Sterling Organics as Tyloxapol) or mixtures 25 thereof. The usual concentration is from 0.1% to 3% by weight.

#### Salts

The fluid can also comprise one or more pharmaceutically acceptable salts. The salt can mineral salts such as e.g. sodium chloride, or salts of organic acids such as sodium citrate.

#### 30 Other adjuvants

The fluid can further comprise other ingredients such as thickeners, humectants, suspending aids, encapsulating aids, chelating agents and preservatives.

The viscosity of the compositions may be maintained at a selected level using a pharmaceutically-acceptable thickening agent. Suitable thickening agents include, for

example, xanthan gum, methyl cellulose, microcrystalline cellulose, carboxymethyl cellulose, chitosan, hydroxypropyl cellulose, hydroxypropyl methyl cellulose, hydroxymethyl cellulose, hydroxyethyl cellulose, carboxyvinyl polymer, carbomer, and the like or pharmaceutically acceptable salts thereof. Mixtures of such thickening agents may also be used. The preferred concentration of the thickener will depend upon the agent selected. The important point is to use an amount which will achieve the selected viscosity. Viscous compositions are normally prepared from solutions by the addition of such thickening agents.

Fluids useful in the present invention can also comprise from about 0.01% to about 5% of a humectant to inhibit drying of the mucous membrane and to prevent irritation. Any of a variety of pharmaceutically-acceptable humectants can be employed including, for example sorbitol, propylene glycol, polyethylene glycol, glycerol or mixtures thereof. As with the thickeners, the concentration will vary with the selected agent, although the presence or absence of these agents, or their concentration is not an essential feature of the invention.

A pharmaceutically-acceptable preservative is generally employed to increase the shelf life of the compositions of the present invention. A variety of preservatives including, for example, benzyl alcohol, parabens, phenylethyl alcohol, thimerosal, chlorobutanol, chlorhexidine gluconate, or benzalkonium chloride can be employed. The most preferred preservative system for use herein comprises a combination of benzalkonium chloride, chlorhexidine gluconate and disodium EDTA as a chelating agent. A suitable concentration of the preservative will be from 0.001% to 2% based on the total weight, although there may be appreciable variation depending upon the agent selected.

#### Spray devices and spray characteristics

The spray device will generally comprise a means for controlling the dosage of the dispensed fluid. This can be as simple as an on-off switch which allows the user to control the dosage according to his or her needs. Preferably the spray device is adapted to provide a unit fluid dose, more particularly a unit dose with a volume in the range of from about 1 to about 100  $\mu$ l, preferably from about 1 to about 20, more preferably from about 5 to about 15  $\mu$ l. In especially preferred embodiments the device is adapted to provide multiple unit doses of the volumes mentioned. The dose volume is preferably pre-set but it can also be adjusted by the user to a desired volume. The device suitably comprises a reservoir for holding the fluid and a dosing means for selectively delivering a unit dose from the reservoir to the spray generator. The dosing means can be, for example, a metered valve or a syringe pump.

The device is adapted to produce a spray having a fluid ligament, the ligament extending from the nosepiece and having a nosepiece end and a delivery end, the spray further comprising a spray cone diverging from the delivery end of the ligament. By "nosepiece end" is meant the point at which a plane (hereinafter the  
5 nosepiece plane) drawn perpendicular to the axis of the ligament and just touching the exterior of the nosepiece would intersect the centre of the ligament. The ligament preferably has a length of from about 1 to about 20 mm, more preferably from about 1 to about 10 mm, yet more preferably from about 2 to about 8 mm, and especially from about 3 to about 6mm from the nosepiece end to the delivery end.

10 In preferred embodiments the spray cone has a cone angle of from about 10 to about 90°, preferably 20 to about 50°, more preferably from about 30 to about 40°. In electrostatic devices, the length of the ligament and the spray cone angle can be adjusted by varying the viscosity or surface tension of the fluid, by varying the fluid flow rate or exit velocity, or by varying the electrical field strength through applied  
15 voltage, potential gradient or by use of a field intensifying electrode.

The total length of the ligament can be, and preferably is, longer than the length from the nosepiece end to the delivery end since the ligament preferably originates from a point on the device side of the nosepiece plane, such as from an elastomeric, self-sealing valve as disclosed herein, and passes through a passage in the nosepiece.  
20 Suitably the distance from the point of origin of the ligament to the nosepiece plane is in the range from about 2 mm to about 15 mm, preferably from about 3 to about 10 mm and more preferably from about 5 to about 9 mm. In this way the nosepiece can be employed as a field intensifier which helps to control the ligament length. For this purpose the nosepiece is preferably a non-conducting material such as a plastic which  
25 can be e.g. polypropylene but is preferably a soft thermoplastic elastomer which provides for greater comfort if held against the nose. Elastomers described herein for the self-sealing valve are also suitable for the nosepiece.

Electrostatic devices suitable for ligament mode spraying are described in WO 96/40441, in EP-A-501,725 and in co-pending application PCT/GB97/02746.  
30 Preferably the present device is a device according to embodiments of EP-A-501,725 or PCT/GB97/02746 in which a jet is created by mechanical means and a high voltage applied to the fluid leads to the jet or ligament breaking up into a spray cone. The jet can be generated by, for example, a syringe pump. Suitable jet velocities are from about 0.5 to about 8, preferably from about 1 to about 3 ms<sup>-1</sup>.  
35 A suitable high voltage is in the range from about 1 kV up to about 10 kV, preferably from about 2kV to about 7kV and more preferably from about 2kV to about 5kV.

The voltage can conveniently be applied to the fluid, even within the constraints of a small hand-held device, from a low voltage (1.5V is sufficient) battery coupled to a step-up transformer. The battery is preferably of the long-life type and can be rechargeable. If a metal syringe pump is used, the voltage can be applied to the fluid through the pump which is preferably encased in an insulating plastic housing. Alternatively, the fluid can be charged by an electrode inserted into the fluid. The generator can be activated by the user by means of an external switch which can also be used to mechanically prime the pump. Preferably the switch includes a metal portion by means of which the user completes an earth return path to the high voltage circuit. A suitable arrangement for the overall device construction is described in PCT/GB97/02746. In this way the user does not acquire a net charge. Alternate arrangements, whereby an alternating voltage is applied, can also be used to prevent charge build-up.

The device is activated to deliver the spray. The ligament of the spray extends through the nostril opening, into the vestibule and preferably to within a short distance of the nasal valve opening, before breaking up to form the spray cone. The device can be constructed simultaneously to dispense two sprays, directed to each of two nostrils. The two sprays can be generated from two separate dosing means or can be provided from a single source such as by using a 'Y' shaped valve to split a single jet into two.

In order to provide clean cut-off at low unit volumes the device preferably comprises an elastomeric, self-sealing exit valve having a fluid side and a delivery side, the valve opening to allow passage of the fluid when pressure is applied to fluid on the fluid side and sealing when the pressure is removed. By "exit valve" is meant that the elastomeric valve is the final dispensing valve and that there are no other elements of the device which mechanically, restrict or modify the flow of the fluid on the downstream side of the valve. In highly preferred embodiments herein the valve is a slit valve. The valve can comprise a single slit or two or more intersecting slits, to form a cross shape for example. Preferably, however, the valve comprises a single slit. Although the valve can be flat it is preferably dome-shaped by which is meant that a non-planar valve having a recess such as with a hemispherical or frustoconical dome. In preferred embodiments the valve is essentially in the form of a hemispherical dome having a flange along its perimeter so that a collar can be fitted to retain the valve in the device. The diameter of the valve, including the flange, is typically from about 2 to about 6 mm with the dome portion having a diameter of from about 1 to about 4 mm, typically about 2.5mm and a thickness from inside to

out of from about 0.5 to about 1.5 mm, suitably about 1 mm. The valve need not be of uniform thickness. In preferred embodiments the valve dome's exterior surface is hemispherical whereas the internal surface is formed with a small flat at the top of the dome where the slit is formed. Suitable slit widths are from about 50 to about 400  $\mu\text{m}$ , preferably from about 150 to about 250  $\mu\text{m}$ . It is to be understood that the slit width refers to the longest dimension of the slit when first created. The term "elastomer" herein refers to a material which is both elastically compressible and elastically extensible. A wide range of elastomers can be used, including but not limited to polyurethanes; chloroprene, butyl, butadiene and styrene-butadiene rubbers, and silicone elastomers such as 2 part room temperature vulcanising (RTV) silicones. Preferred for use herein are the 2 part silicone RTVs. Suitable silicone RTVs are available under the trademark NuSil and have a hardness of from about 30 to about 80 Shore A, preferably from about 40 to about 70 Shore A. The elastomers can optionally be mixed with a suitable plasticiser or foaming agent to make them more compressible. The elastomer may also have other materials dispersed within it in order to modify the its properties, such as its conductivity. If elastomers of low tear strength are employed, the slit width may grow if the slit is held open for a prolonged period of time. The slit valve can be formed by piercing an injection moulded elastomeric seal, of the same dimensions and shape as the intended valve, with a pin having a sharpened tip. The slit width is roughly proportional to the pin width. The pin can be a flat blade or can have a polygonal or round cross-section. The pin preferably has a polygonal or, especially, a round cross-section. It has been found that sharp-edged pins create a cut which behaves in use as a flap rather than a hole. This can lead to a jet which is not straight or even to the creation of two or more jets which may lead to unreliable or unpredictable spraying. Suitable pin diameters are from about 100 to 350, more preferably from 150 to 250  $\mu\text{m}$  in diameter. When silicone elastomers are used it is preferred that the piercing pin is withdrawn rapidly after forming the slit to avoid undesired slit growth. It has further been found that the geometry of the elastomeric seal and the method of piercing have a significant effect on the effectiveness and reproducibility of slit formation. More reliable slit formation is obtained if the seal is pierced from the inside of the dome rather than from the outside.

The valve opens when pressure is applied to fluid on the fluid side and seals when the pressure is removed. As mentioned earlier, pressure can be applied to the fluid by of a dosing means such as a syringe pump. The applied pressure is suitably in the range from about 200 to about 5000 mbar (20 to 500 kPa), preferably from about 500 to about 3000 mbar (50 to 300 kPa). The flow rate through the valve is generally

proportional to the pressure applied and is suitably in the range from about 5 to about 50, more preferably from about 5 to about 30  $\mu\text{s}^{-1}$ . At such pressures and with the disclosed valve type and slit dimensions a straight fluid ligament with an exit velocity of from about 0.5 to about 8 preferably from about 1 to about 3  $\text{ms}^{-1}$  is obtained.

- 5 The diameter of the issuing ligament is partly determined by the flow rate and is generally less than the width of the slit valve. Depending on the flow rate, ligament diameters of less than 50  $\mu\text{m}$  can be achieved, even when a valve slit width of 200  $\mu\text{m}$  is employed. The ligament diameter strongly influences the particle size of the spray after break-up into the spray cone, the particle size being broadly similar to the
- 10 ligament diameter. It is a feature of the ligament mode electrostatic spraying herein that a tightly distributed, almost mono-disperse spray is obtained. It is thus possible to achieve a spray with a median droplet size of from 20 to about 80, preferably from about 30 to about 70  $\mu\text{m}$ , more preferably from about 40 to about 60  $\mu\text{m}$ , the particle size distribution generally having a standard deviation of less than 5, typically less
- 15 than 2  $\mu\text{m}$ , and preferably less than 1  $\mu\text{m}$ . It is commonly understood that, for nasal spraying, a particle size of 10  $\mu\text{m}$  or less is desirable so that the particles are not carried through into the lungs. It is believed, however, that having an electrostatic charge on the spray particles makes them much less likely to be carried beyond the nose since the charged particles tend to find an earthed surface rather quickly.
- 20 The clean stop performance of the tip valve can be further improved by introducing a pressure relief feature behind the valve. This would take the form of a by-pass to the fluid reservoir so that any residual fluid pressurised by relaxation of the elastomer would return to a reservoir rather than dripping from the valve exit.

- If necessary, several self-sealing valves can be used in tandem to achieve higher
- 25 volume throughputs whilst retaining the advantageously small spray particle sizes. The seals from which the valves are made can conveniently be manufactured by a conventional injection moulding process.

### Methods

- The spray device herein is suitable for spraying into a bodily cavity, particularly into
- 30 the nose, mouth or ears of a human. The low volume and gentle spray also make it suitable for e.g. ophthalmic spraying. Preferably the device is a nasal spray device. A preferred method of administering a fluid to the nasal cavity from the spray device comprises spraying the fluid into the nasal cavity without substantial penetration of the device into the nostrils. By "without substantial penetration into the nostrils"
- 35 herein is meant that there is no insertion of a nozzle or such-like into the nasal

vestibule. In use, the nosepiece of the device is preferably placed in contact with the nostril opening to obtain the full benefit of the field intensifying effect described herein in relation to the nosepiece. If pressure is applied by the user, for certainty of contact or to assist in orientation there may be some flaring of the nostril or overlap  
5 with the septum cartilage but nevertheless the nosepiece will not be completely surrounded by the nostril.

The invention will now be described by way of example only, with reference to the accompanying drawings in which:

10 Fig. 1 is a sectional view through a human nose.

Fig. 2 is a perspective view of a spray device according to the invention.

Fig. 3 is a greatly simplified sectional view of the spray device of Figure 2 showing the relationship of the elastomeric nozzle to the nosepiece and dosing means.

15 Fig. 4 is a schematic part section showing a spray issuing from a device according to the invention.

Fig. 5 is a enlarged sectional view of an elastomeric exit valve according to the invention.

Referring to Fig. 1, the nose comprises a nasal cavity 1 in which are located the turbinates 2, convoluted finger-like structures covered with epithelium and which are  
20 susceptible to inflammation. The exterior opening to the nasal cavity is through two nostrils 3. Since the turbinates are located in the posterior part of the nasal cavity it has generally been difficult to spray them effectively without inserting a spray device into the nostrils.

Referring to Figures 2 and 3, there is shown a spray device 4 fitted with a soft  
25 elastomeric nosepiece 5 which is designed to fit against a user's nostril opening without being inserted into the nostril. The nosepiece is provided with a passage 6 which connects to a syringe pump 7, the interior detail of which is not shown, which is used to generate the ligament of the spray through elastomeric exit valve 8. The insulating casing 9 of the device further encloses (not shown) a replaceable fluid  
30 reservoir, battery, transformer and electronic circuitry for supplying a high voltage from the transformer to the fluid. The device is supplied with an actuating mechanism 10 which mechanically primes the pump and triggers its release to deliver a pre-set unit dose of the fluid. The actuating mechanism includes a metal portion to provide an earth return from the user to the high voltage circuitry.

Referring now to Figure 4, a spray comprises ligament 11 and spray cone 12 having a cone angle  $\theta$ . In practice the cone angle can be measured by spraying from a fixed distance onto disclosing paper and then measuring the diameter of the spray pattern or by direct viewing of the spray using high speed video photography with back lighting. The ligament has a delivery end 13 where the ligament breaks up into the spray cone and a nosepiece end 14 defined as the point where plane A-A intersects ligament 11. Plane A-A is drawn perpendicular to the axis of the ligament, just touching the tip of nosepiece 5.

Figure 5 illustrates an elastomeric exit valve 8 according to the invention. The nozzle, which is circularly symmetrical, comprises a flange 15 for securing the nozzle to the spray device, a domed head 16, a recess 17 and a slit 18 extending from the recess to the top of the dome. The nozzle is formed by injection moulding a seal of essentially the same construction and then piercing the dome with a sharpened pin, of round cross-section and 200  $\mu$ m diameter, from the inside of the recess.

#### 15 Examples

The following examples further describe and demonstrate embodiments within the scope of the present invention. The examples are given solely for the purpose of illustration and are not to be construed as limitations of the present invention.

#### Example I

20 A fluid of the present invention is prepared by combining the following components utilising conventional mixing techniques similar to that described below.

	Component	Wt %
	oxymetazoline hydrochloride	0.31
	sodium citrate dihydrate	1.75
25	citric acid	0.35
	Tyloxapol	0.70
	chlorhexidine digluconate	0.054
	benzalkonium chloride	0.02
	camphor	0.04
30	eucalyptol	0.02
	disodium EDTA dihydrate	0.01
	distilled water	q.s. 100ml



In an appropriately sized vessel, the above listed ingredients are added one at a time to water with mixing, allowing each to dissolve before adding the next. After all the ingredients have been added, purified water is used to bring the batch to the appropriate weight. The solution has a bulk resistivity of 120 ohm.cm. The solution is charged into a flexible laminate reservoir and fitted into an electrostatic spray device of the kind indicated in figure 2. The nosepiece of the device is held against the nostril and the device directed such that the spray ligament will enter the nostril. On actuation of the device, 8  $\mu$ l of the solution is dispensed over a period of about 1 second. The dispensed fluid provides relief from nasal decongestion without causing noticeable wetness either on or inside the nose. The low dose volume and gentle delivery have the result that the user does not sense any appreciable physical impact from the spray.

#### Example II

A further fluid is prepared by combining the following components utilising conventional mixing techniques similar to that described in Example I.

	Component	Wgt %
	flunisolide	0.025
	chlorpheniramine	0.350
	levocabastine	0.0125
20	propylene glycol	2.000
	polyethylene glycol	1.000
	ethylenediamine tetraacetic acid	0.050
	benzalkonium chloride	0.010
	distilled water	q.s. 100ml

25 Intranasal spray administration, in the manner of Example I, of approximately 10  $\mu$ l of the fluid composition is used to provide relief from allergy or allergy-like symptoms.

#### Example III

30 A fluid of the present invention is prepared by combining the following components utilising conventional mixing techniques similar to that described in Example I.

Component	Wt %
beclomethasone dipropionate, monohydrate	0.042

	chlorpheniramine	0.500
	Avicel RC - 591 <sup>1</sup>	0.200
	dextrose	5.100
	polysorbate 80	0.050
5	benzalkonium chloride	0.020
	phenylethyl alcohol	0.025
	distilled water	q.s. 100ml

<sup>1</sup>microcrystalline cellulose and sodium carboxymethyl cellulose, supplied FMC Corporation.

- 10 Intranasal spray administration, in the manner of Example 1, of approximately 10  $\mu$ l of the fluid composition is used to provide relief from allergy or allergy-like symptoms.

## WHAT IS CLAIMED IS:

1. A packaged spray device, suitable for spraying into a bodily cavity, the device comprising a spray generator, a fluid reservoir and a nosepiece wherein:
  - 5 i) the fluid reservoir contains a pharmaceutically acceptable fluid, the fluid comprising a pharmaceutically acceptable treatment agent selected from medicaments, flavours, salts, surfactants and mixtures thereof; and
  - ii) the device is adapted to produce a spray having a fluid ligament extending from the nosepiece, the ligament having a nosepiece end and a  
10 delivery end, the spray further comprising a spray cone diverging from the delivery end of the ligament; wherein
  - iii) the ligament has a length of from 1 to 20 mm from the nosepiece end to the delivery end.
2. An electrostatic spray device according to Claim 1.
- 15 3. An electrostatic spray device according to Claim 2 wherein a voltage in the range from 1 kV up to 10 kV is applied to the fluid.
4. A spray device according to any of Claims 1 to 3 wherein the spray cone has a cone angle of from 10 to 90°, preferably 20 to 50°, more preferably from 30 to 40°.
- 20 5. A spray device according to any of Claims 1 to 4 wherein the device is adapted to provide a unit fluid dose with a volume in the range from 1 to 20, preferably from 5 to 15 µl.
6. A spray device according to Claim 5 wherein the device is adapted to provide multiple unit fluid doses.
- 25 7. A method of administering a fluid to the nasal cavity from a spray device, the method comprising spraying the fluid into the nasal cavity without substantial penetration of the device into the nostrils.
8. A method according to Claim 7 wherein the device sprays simultaneously into two nostrils.
- 30 9. A method according to Claim 7 or Claim 8 wherein the device is adapted to provide a unit fluid dose with a volume in the range from 1 to 20, preferably from 5 to 15 µl.

10. A method according to any of Claims 7 to 9 using a device according to any of Claims 1 to 6.

1 / 3

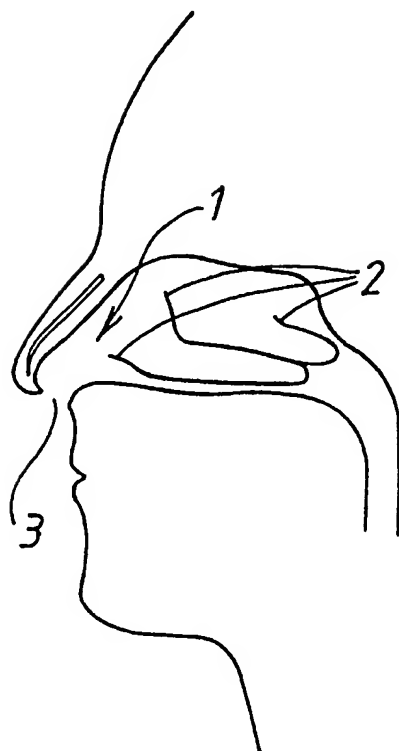


FIG. 1

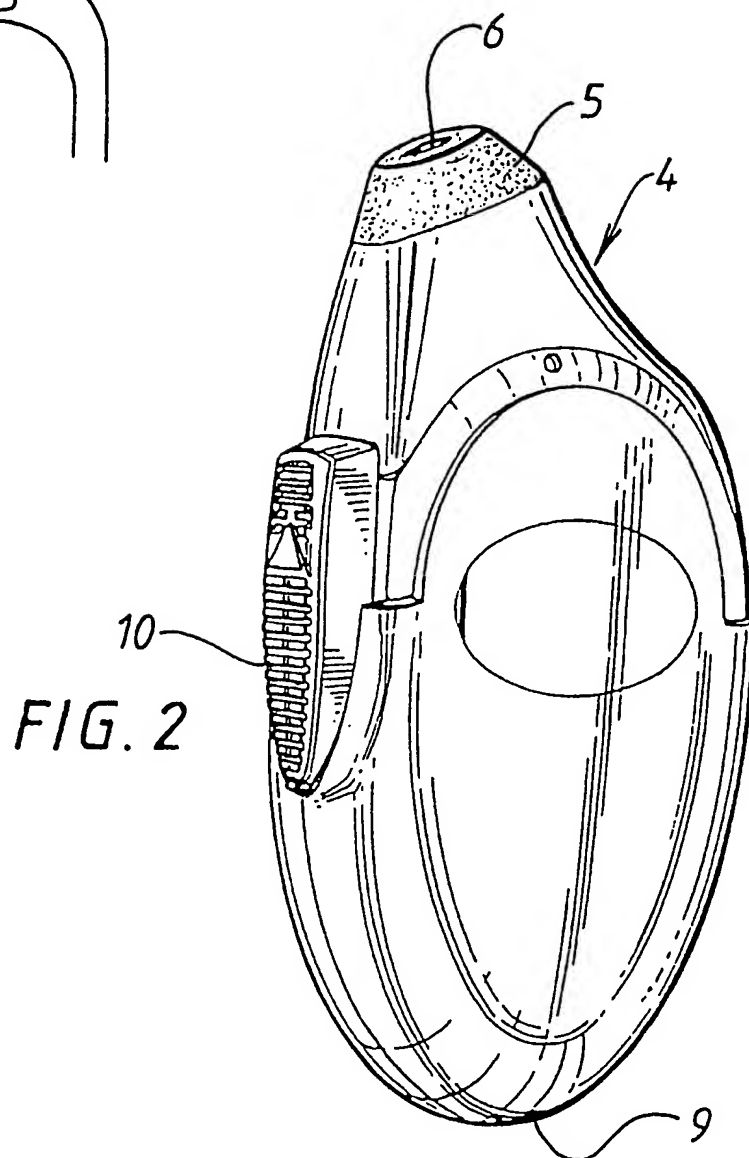


FIG. 2

2 / 3

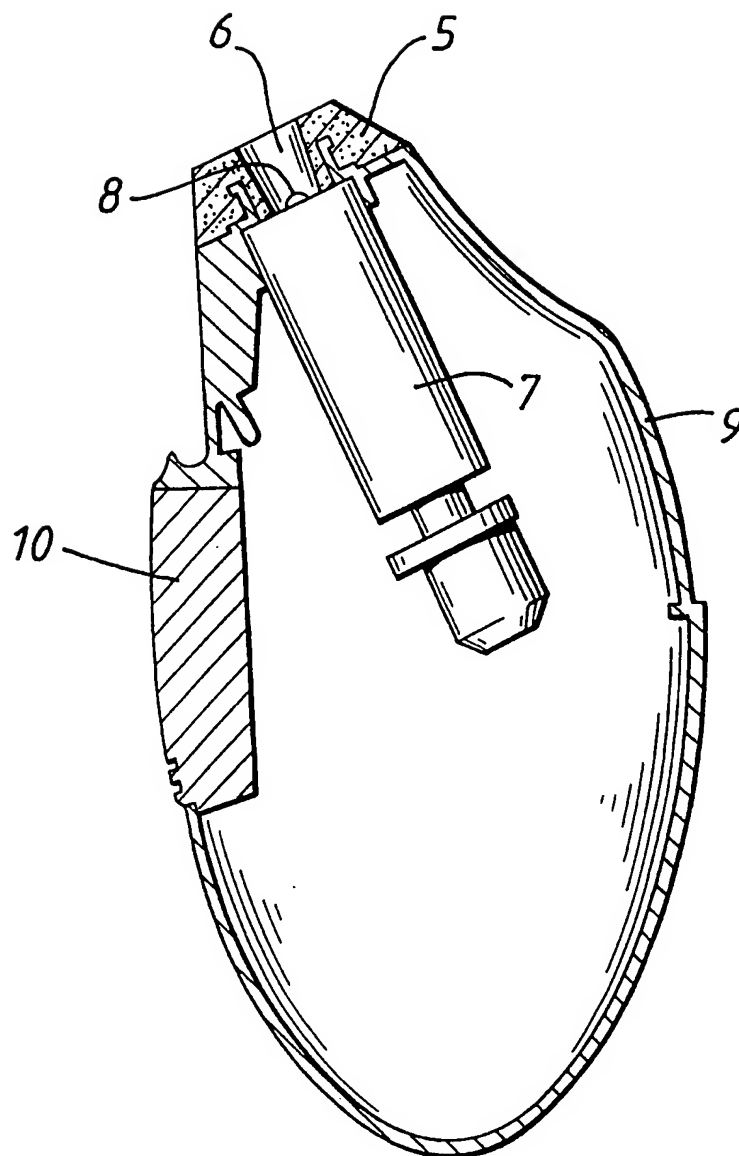


FIG. 3

3 / 3

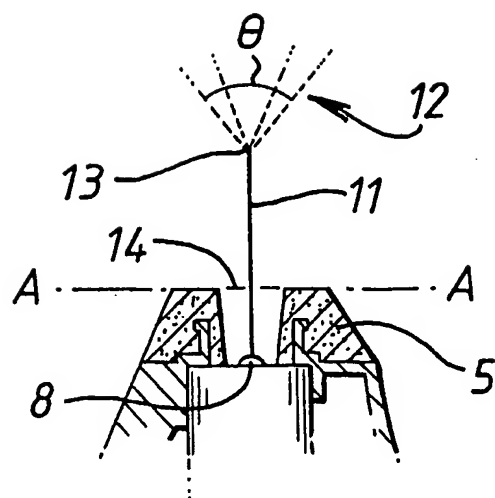


FIG. 4

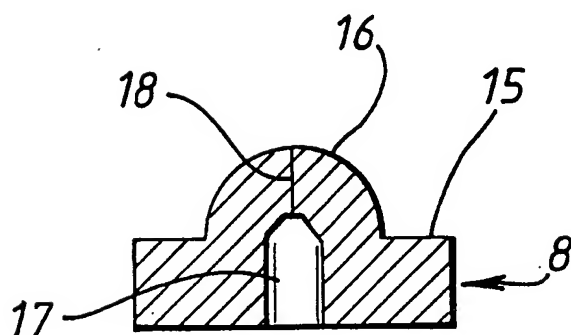


FIG. 5

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/00469

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 A61M15/08 B05B5/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61M B05B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB 2 273 673 A (UNILEVER PLC) 29 June 1994 see the whole document ---	1
A	EP 0 501 725 A (ICI PLC) 2 September 1992 cited in the application see abstract ---	1
A	WO 96 40441 A (ICI PLC ;GREEN MICHAEL LESLIE (GB); NOAKES TIMOTHY JAMES (GB); PRE) 19 December 1996 cited in the application see abstract; figure 1 ---	1
A	US 1 599 111 A (EUDENE E. BEADLE) 7 September 1926 see the whole document ---	1
-/--		



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

3 June 1999

Date of mailing of the international search report

15/06/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Jameson, P



# INTERNATIONAL SEARCH REPORT

Internat Application No  
PCT/IB 99/00469

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	FR 1 146 256 A (MME PAULAIS) 8 November 1957 see the whole document -----	1

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/00469

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
GB 2273673	A	29-06-1994	NONE	
EP 0501725	A	02-09-1992	AU 658859 B	04-05-1995
			AU 1124692 A	03-09-1992
			AU 671054 B	08-08-1996
			AU 2053895 A	10-08-1995
			CA 2062064 A	02-09-1992
			JP 5104035 A	27-04-1993
			US 5490633 A	13-02-1996
			US 5292067 A	08-03-1994
			ZA 9202475 A	15-04-1993
WO 9640441	A	19-12-1996	AU 3525995 A	26-04-1996
			AU 5829496 A	30-12-1996
			BR 9509252 A	21-10-1997
			CA 2200181 A	11-04-1996
			CA 2222519 A	19-12-1996
			CN 1159773 A	17-09-1997
			CN 1192168 A	02-09-1998
			CZ 9701003 A	13-08-1997
			EP 0776253 A	04-06-1997
			EP 0837736 A	29-04-1998
			WO 9610459 A	11-04-1996
			HU 76948 A	28-01-1998
			JP 10506324 T	23-06-1998
			PL 319482 A	04-08-1997
			SK 42197 A	10-09-1997
			ZA 9604689 A	09-12-1996
US 1599111	A	07-09-1926	NONE	
FR 1146256	A	08-11-1957	NONE	